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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

SAPPLICANT:

Therrien, et al.

ERIAL NUMBER:

MAY 2 7 2004

10/659,642

EXAMINER:

Not Yet Assigned

Attorney Docket No.: 25452-015

FILING DATE:

September 10, 2003

ART UNIT:

2186

FOR:

METHOD AND APPARATUS FOR SERVER SHARE MIGRATION AND

SERVER RECOVERY USING HIERARCHICAL STORAGE

MANAGEMENT

Mail Stop Amendment

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

TRANSMITTAL LETTER

Transmitted herewith for filing in the present application are the following documents:

- Supplemental Information Disclosure Statement (2 pages), in duplicate; 1.
- Modified Form 1449/PTO (2 pages), in duplicate; 2.
- 3. Copies of Cited References: A4-25, C1-3; and
- Return Postcard. 4.

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (617) 542-6000, Boston, Massachusetts.

The Commissioner is authorized to charge any fees that may be due, or to credit any overpayment, to the undersigned's account, Deposit Account No. 50-0311 Ref. No. 25452-015. A duplicate copy of this transmittal letter is enclosed herewith.

Respectfully submitted,

C. Eric Schulman, Esq., Reg. No. 43,350

Attorney(s) for Applicants

MINTZ, LEVIN, COHN, FERRIS, GLOVSKY and POPEO, P.C.

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Customer no.: 30623 Tel: (617) 542-6000

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Date: May 27, 2004

TRA 1923458v1

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Date of Deposit: May 27, 2004

IN THE UNITED STATES PARENT AND TRADEMARK OFFICE

APPLICANT: Therrien, et al.

SERIAL NUMBER: 10/659,642 EXAMINER: Not Yet Assigned

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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, Applicant hereby makes of record the documents listed on the attached modified Form PTO-1449.

This Supplemental Information Disclosure Statement is being filed before the mailing date of a first Office Action based on the merits in the above-identified case. Accordingly, no fee or certification is believed required.

It is respectfully requested that the Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims, and sign the enclosed form PTO-1449 to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application.

By submitting this Supplemental Information Disclosure Statement, the Applicant makes no representation that: (1) a search has been performed, of the extent of any search performed, or that more relevant information does not exist; (2) the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b); and (3) the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.

APPLICANTS: U.S.S.N.:

Therrien, et al. 10/659,642

Notwithstanding any statements by the Applicant, the Examiner is urged to form his/her own conclusion regarding the relevance of the cited information. An early and favorable action is hereby requested.

Please charge any fees that may be due, or credit any overpayment of same, to Deposit Account No. 50-0311, Reference No. 25452-015.

Respectfully submitted,

C. Eric Schulman, Esq., Reg. No. 43,350

Attorney(s) for Applicants

MINTZ, LEVIN, COHN, FERRIS, GLOVSKY and POPEO, P.C.

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Date: May 27, 2004

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Page 1 of 2 Attorney Docket No.: 25452-015

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Exam	Cite	Foreign Patent De	ocument	I was a sufficient	e of Patentee(s) or Applicant(s)	Date of		Translation
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(use as many sheets as necessary)					Attorney Docket Number	25452-015		
INFORMATION DISCLOSURE STATEMENT BY APPLICANT					Examiner Name	2186 Not Yet Assigned		
					Group Art Unit			
					Filing Date First Named Inventor	September 10, 2003 Therrien		
Modified Form 1449/PTO						10/659,642		00

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Attorney Docket No.: 25452-015

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS					
Exam Initials	Cite No.	Name of Author, Title (when appropriate), Publication, Volume, Page(s), Date, Etc.			
	C1	Garcia-Molina, et al., 35 th IEEE Computer Society International Conference, pgs. 573-577 (1990).			
,	C2	Lyon, J., 35 th IEEE Computer Society International Conference, pgs. 562-567 (1990).			
	СЗ	Mohan, et al., Proceedings of the 9 th International Conference on Data Engineering, pgs. 511-518 (1993).			

A copy of this reference is not provided as it was previously cited by or submitted to the office in a prior application, Serial No. ______, filed ______, and relied upon for an earlier filing date under 35 U.S.C. §120 (continuation, continuation-in-part, and divisional applications).

Examiner Signature		Date Considered	
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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